

Investment Company Report

ROYAL BANK OF SCOTLAND GROUP PLC

Security	780097689	Meeting Type	Special
Ticker Symbol	RBS	Meeting Date	06-Feb-2019
ISIN	US7800976893	Agenda	934923283 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To authorise the Company to make off-market purchases of ordinary shares from HM Treasury	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	50,000	0	25-Jan-2019	01-Feb-2019

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NORDEA BANK ABP

Security	X5S8VL105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Mar-2019
ISIN	FI4000297767	Agenda	710581592 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
CMMT	A POA IS NEEDED TO APPOINT OWN REPRESENTATIVE BUT IS NOT NEEDED IF A FINNISH-SUB/BANK IS APPOINTED EXCEPT IF THE SHAREHOLDER IS FINNISH THEN A POA WOULD-STILL BE REQUIRED.	Non-Voting		
1	OPENING OF THE MEETING	Non-Voting		
2	CALLING THE MEETING TO ORDER	Non-Voting		
3	ELECTION OF PERSONS TO CONFIRM THE MINUTES AND TO SUPERVISE THE COUNTING OF-VOTES	Non-Voting		
4	RECORDING THE LEGALITY OF THE MEETING	Non-Voting		
5	RECORDING THE ATTENDANCE AT THE MEETING AND ADOPTION OF THE LIST OF VOTES	Non-Voting		
6	PRESENTATION OF THE ANNUAL ACCOUNTS, THE REPORT OF THE BOARD OF DIRECTORS AND-THE AUDITOR'S REPORT FOR THE YEAR 2018 - REVIEW BY THE CEO	Non-Voting		
7	ADOPTION OF THE ANNUAL ACCOUNTS	Management	For	For
8	RESOLUTION ON THE USE OF THE PROFIT SHOWN ON THE BALANCE SHEET AND THE PAYMENT OF DIVIDEND: EUR 0.69 PER SHARE	Management	For	For
9	RESOLUTION ON THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND THE CEO FROM LIABILITY	Management	For	For
CMMT	PLEASE NOTE THAT RESOLUTIONS 10 TO 12 ARE PROPOSED BY NOMINATION BOARD AND-BOARD DOES NOT MAKE ANY RECOMMENDATION ON THESE PROPOSALS. THE STANDING-INSTRUCTIONS ARE DISABLED FOR THIS MEETING	Non-Voting		
10	RESOLUTION ON THE REMUNERATION FOR THE MEMBERS OF THE BOARD OF DIRECTORS	Management	For	

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11	RESOLUTION ON THE NUMBER OF MEMBERS OF THE BOARD OF DIRECTORS: THE NOMINATION BOARD PROPOSES TO THE GENERAL MEETING THAT, FOR A PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING, THE NUMBER OF MEMBERS OF THE BOARD OF DIRECTORS TO BE ELECTED BY THE ANNUAL GENERAL MEETING IS SET AT TEN. FURTHER, THE COMPANY'S BOARD HAS THREE ORDINARY AND ONE DEPUTY MEMBERS OF THE BOARD OF DIRECTORS APPOINTED BY THE EMPLOYEES	Management	For	
12	ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS AND THE BOARD CHAIR: THE NOMINATION BOARD PROPOSES TO THE GENERAL MEETING, FOR A PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING: - THE RE-ELECTION OF TORBJORN MAGNUSSON, NIGEL HINSHELWOOD, MARIA VARSELLONA, BIRGER STEEN, SARAH RUSSELL, ROBIN LAWTHOR AND PERNILLE ERENBJERG AS MEMBERS OF THE BOARD OF DIRECTORS; - THE ELECTION OF KARI JORDAN, PETRA VAN HOEKEN AND JOHN MALTBY AS NEW MEMBERS OF THE BOARD OF DIRECTORS; AND - THE ELECTION OF TORBJORN MAGNUSSON AS CHAIR OF THE BOARD OF DIRECTORS. FURTHER, THE COMPANY'S BOARD HAS THREE ORDINARY AND ONE DEPUTY MEMBERS OF THE BOARD OF DIRECTORS APPOINTED BY THE EMPLOYEES. BJORN WAHLROOS, LARS G. NORDSTROM AND SILVIJA SERES ARE NOT AVAILABLE FOR RE-ELECTION	Management	For	
13	RESOLUTION ON THE REMUNERATION OF THE AUDITOR	Management	For	For
14	ELECTION OF AUDITOR: PRICEWATERHOUSECOOPERS OY HAS NOTIFIED THE COMPANY THAT THE AUTHORIZED PUBLIC ACCOUNTANT JUHA WAHLROOS WOULD CONTINUE AS THE RESPONSIBLE AUDITOR	Management	For	For
CMMT	PLEASE NOTE THAT RESOLUTION 15 IS PROPOSED BY NOMINATION BOARD AND BOARD DOES-NOT MAKE ANY RECOMMENDATION ON THESE PROPOSAL. THE STANDING INSTRUCTIONS ARE-DISABLED FOR THIS MEETING	Non-Voting		
15	RESOLUTION ON THE ESTABLISHMENT OF A PERMANENT NOMINATION BOARD FOR THE SHAREHOLDERS AND APPROVAL OF THE NOMINATION BOARD'S CHARTER	Management	For	
16	RESOLUTION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON ISSUANCE OF SPECIAL RIGHTS ENTITLING TO SHARES (CONVERTIBLES) IN THE COMPANY	Management	For	For
17.A	RESOLUTION ON: ACQUISITION OF THE COMPANY'S OWN SHARES IN THE SECURITIES TRADING BUSINESS	Management	For	For

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17.B	RESOLUTION ON: TRANSFER OF THE COMPANY'S OWN SHARES IN THE SECURITIES TRADING BUSINESS	Management	For	For
18.A	RESOLUTION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON: ACQUISITION OF THE COMPANY'S OWN SHARES	Management	For	For
18.B	RESOLUTION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON: SHARE ISSUANCES OR THE TRANSFER OF THE COMPANY'S OWN SHARES	Management	For	For
19	RESOLUTION ON THE MAXIMUM RATIO BETWEEN FIXED AND VARIABLE COMPONENT OF TOTAL REMUNERATION	Management	For	For
20	CLOSING OF THE MEETING	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	6,000	0	25-Feb-2019	13-Mar-2019

Investment Company Report

HSBC HOLDINGS PLC

Security	404280406	Meeting Type	Annual
Ticker Symbol	HSBC	Meeting Date	12-Apr-2019
ISIN	US4042804066	Agenda	934945140 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the Annual Report & Accounts 2018	Management	For	For
2.	To approve the Directors' Remuneration Report	Management	For	For
3.	To approve the Directors' Remuneration Policy	Management	For	For
4a.	To elect Ewen Stevenson as a Director	Management	For	For
4b.	To elect José Antonio Meade as a Director	Management	For	For
4c.	To re-elect Kathleen Casey as a Director	Management	For	For
4d.	To re-elect Laura Cha as a Director	Management	For	For
4e.	To re-elect Henri de Castries as a Director	Management	For	For
4f.	To re-elect John Flint as a Director	Management	For	For
4g.	To re-elect Irene Lee as a Director	Management	For	For
4h.	To re-elect Heidi Miller as a Director	Management	For	For
4i.	To re-elect Marc Moses as a Director	Management	For	For
4j.	To re-elect David Nish as a Director	Management	For	For
4k.	To re-elect Jonathan Symonds as a Director	Management	For	For
4l.	To re-elect Jackson Tai as a Director	Management	For	For
4m.	To re-elect Mark Tucker as a Director	Management	For	For
4n.	To re-elect Pauline van der Meer Mohr as a Director	Management	For	For
5.	To re-appoint PricewaterhouseCoopers LLP as Auditor of the Company	Management	For	For
6.	To authorise the Group Audit Committee to determine the remuneration of the Auditor	Management	For	For
7.	To authorise the Company to make political donations	Management	For	For
8.	To authorise the Directors to allot shares	Management	For	For
9.	To disapply pre-emption rights (special resolution)	Management	For	For
10.	To further disapply pre-emption rights for acquisitions (special resolution)	Management	For	For
11.	To authorise the Directors to allot any repurchased shares	Management	For	For
12.	To authorise the Company to purchase its own shares (special resolution)	Management	For	For
13.	To authorise the Directors to allot equity securities in relation to Contingent Convertible Securities	Management	For	For
14.	To disapply pre-emption rights in relation to the issue of Contingent Convertible Securities (special resolution)	Management	For	For

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15.	To authorise the Directors to offer a scrip dividend alternative	Management	For	For
16.	To call general meetings (other than an AGM) on 14 clear days' notice (special resolution)	Management	For	For
17.	Shareholder requisitioned resolution regarding the Midland Bank defined benefit pension scheme (special resolution)	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	3,000	0	14-Mar-2019	05-Apr-2019

Investment Company Report

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	16-Apr-2019
ISIN	US1729674242	Agenda	934935808 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Michael L. Corbat	Management	For	For
1b.	Election of Director: Ellen M. Costello	Management	For	For
1c.	Election of Director: Barbara J. Desoer	Management	For	For
1d.	Election of Director: John C. Dugan	Management	For	For
1e.	Election of Director: Duncan P. Hennes	Management	For	For
1f.	Election of Director: Peter B. Henry	Management	For	For
1g.	Election of Director: S. Leslie Ireland	Management	For	For
1h.	Election of Director: Lew W. (Jay) Jacobs, IV	Management	For	For
1i.	Election of Director: Renee J. James	Management	For	For
1j.	Election of Director: Eugene M. McQuade	Management	For	For
1k.	Election of Director: Gary M. Reiner	Management	For	For
1l.	Election of Director: Diana L. Taylor	Management	For	For
1m.	Election of Director: James S. Turley	Management	For	For
1n.	Election of Director: Deborah C. Wright	Management	For	For
1o.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2019.	Management	For	For
3.	Advisory vote to approve Citi's 2018 executive compensation.	Management	For	For
4.	Approval of the Citigroup 2019 Stock Incentive Plan.	Management	For	For
5.	Shareholder proposal requesting Shareholder Proxy Access Enhancement to Citi's proxy access bylaw provisions.	Shareholder	Against	For
6.	Shareholder proposal requesting that the Board adopt a policy prohibiting the vesting of equity-based awards for senior executives due to a voluntary resignation to enter government service.	Shareholder	Against	For
7.	Shareholder proposal requesting that the Board amend Citi's bylaws to give holders in the aggregate of 15% of Citi's outstanding common stock the power to call a special meeting.	Shareholder	Against	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	1,200	0	12-Mar-2019	17-Apr-2019

Investment Company Report

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	16-Apr-2019
ISIN	US1729674242	Agenda	934935808 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Michael L. Corbat	Management	For	For
1b.	Election of Director: Ellen M. Costello	Management	For	For
1c.	Election of Director: Barbara J. Desoer	Management	For	For
1d.	Election of Director: John C. Dugan	Management	For	For
1e.	Election of Director: Duncan P. Hennes	Management	For	For
1f.	Election of Director: Peter B. Henry	Management	For	For
1g.	Election of Director: S. Leslie Ireland	Management	For	For
1h.	Election of Director: Lew W. (Jay) Jacobs, IV	Management	For	For
1i.	Election of Director: Renee J. James	Management	For	For
1j.	Election of Director: Eugene M. McQuade	Management	For	For
1k.	Election of Director: Gary M. Reiner	Management	For	For
1l.	Election of Director: Diana L. Taylor	Management	For	For
1m.	Election of Director: James S. Turley	Management	For	For
1n.	Election of Director: Deborah C. Wright	Management	For	For
1o.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2019.	Management	For	For
3.	Advisory vote to approve Citi's 2018 executive compensation.	Management	For	For
4.	Approval of the Citigroup 2019 Stock Incentive Plan.	Management	For	For
5.	Shareholder proposal requesting Shareholder Proxy Access Enhancement to Citi's proxy access bylaw provisions.	Shareholder	Against	For
6.	Shareholder proposal requesting that the Board adopt a policy prohibiting the vesting of equity-based awards for senior executives due to a voluntary resignation to enter government service.	Shareholder	Against	For
7.	Shareholder proposal requesting that the Board amend Citi's bylaws to give holders in the aggregate of 15% of Citi's outstanding common stock the power to call a special meeting.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	6,800	0	12-Mar-2019	17-Apr-2019

Investment Company Report

FIFTH THIRD BANCORP

Security	316773100	Meeting Type	Annual
Ticker Symbol	FITB	Meeting Date	16-Apr-2019
ISIN	US3167731005	Agenda	934936014 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A	Election of Director: Nicholas K. Akins	Management	For	For
1B	Election of Director: B. Evan Bayh, III	Management	For	For
1C	Election of Director: Jorge L. Benitez	Management	For	For
1D	Election of Director: Katherine B. Blackburn	Management	For	For
1E	Election of Director: Emerson L. Brumback	Management	For	For
1F	Election of Director: Jerry W. Burris	Management	For	For
1G	Election of Director: Greg D. Carmichael	Management	For	For
1H	Election of Director: C. Bryan Daniels	Management	For	For
1I	Election of Director: Thomas H. Harvey	Management	For	For
1J	Election of Director: Gary R. Heminger	Management	For	For
1K	Election of Director: Jewell D. Hoover	Management	For	For
1L	Election of Director: Eileen A. Mallesch	Management	For	For
1M	Election of Director: Michael B. McCallister	Management	For	For
1N	Election of Director: Marsha C. Williams	Management	For	For
2	Approval of the appointment of the firm of Deloitte & Touche LLP to serve as the independent external audit firm for the Company for the year 2019	Management	For	For
3	An advisory approval of the Company's executive compensation	Management	For	For
4	An advisory vote to determine whether the shareholder vote on the compensation of the Company's executives will occur every 1, 2, or 3 years	Management	1 Year	For
5	Approval of the Fifth Third Bancorp 2019 Incentive Compensation Plan Including the Issuance of Shares of Common Stock Authorized Thereunder	Management	For	For
6	Approval of an Amendment to the Company's Articles of Incorporation to Authorize a New Class of Preferred Stock	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,000	0	12-Mar-2019	17-Apr-2019

Investment Company Report

ING GROEP N.V.

Security	456837103	Meeting Type	Annual
Ticker Symbol	ING	Meeting Date	23-Apr-2019
ISIN	US4568371037	Agenda	934970523 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
2e.	Annual Accounts for 2018	Management	For	For
3b.	Dividend for 2018	Management	For	For
4a.	Discharge of the members and former members of the Executive Board in respect of their duties performed during the year 2018	Management	For	For
4b.	Discharge of the members and former members of the Supervisory Board in respect of their duties performed during the year 2018	Management	For	For
5.	Reappointment of the external auditor	Management	For	For
6.	Composition of the Executive Board: appointment of Tanate Phutrakul	Management	For	For
7a.	Composition of the Supervisory Board: Reappointment of Mariana Gheorghe	Management	For	For
7b.	Composition of the Supervisory Board: Appointment of Mike Rees	Management	For	For
7c.	Composition of the Supervisory Board: Appointment of Herna Verhagen	Management	For	For
8a.	Authorization to issue ordinary shares	Management	For	For
8b.	Authorization to issue ordinary shares, with or without pre-emptive rights of existing shareholders	Management	For	For
9.	Authorization of the Executive Board to acquire ordinary shares in the Company's capital	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	14,000	0	02-Apr-2019	15-Apr-2019

Investment Company Report

WELLS FARGO & COMPANY

Security	949746101	Meeting Type	Annual
Ticker Symbol	WFC	Meeting Date	23-Apr-2019
ISIN	US9497461015	Agenda	934941584 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: John D. Baker II	Management	For	For
1b.	Election of Director: Celeste A. Clark	Management	For	For
1c.	Election of Director: Theodore F. Craver, Jr.	Management	For	For
1d.	Election of Director: Elizabeth A. Duke	Management	For	For
1e.	Election of Director: Wayne M. Hewett	Management	For	For
1f.	Election of Director: Donald M. James	Management	For	For
1g.	Election of Director: Maria R. Morris	Management	For	For
1h.	Election of Director: Juan A. Pujadas	Management	For	For
1i.	Election of Director: James H. Quigley	Management	For	For
1j.	Election of Director: Ronald L. Sargent	Management	For	For
1k.	Election of Director: C. Allen Parker	Management	For	For
1l.	Election of Director: Suzanne M. Vautrinot	Management	For	For
2.	Advisory resolution to approve executive compensation.	Management	For	For
3.	Approve the Company's Amended and Restated Long-Term Incentive Compensation Plan.	Management	For	For
4.	Ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for 2019.	Management	For	For
5.	Shareholder Proposal - Report on Incentive-Based Compensation and Risks of Material Losses.	Shareholder	Against	For
6.	Shareholder Proposal - Report on Global Median Gender Pay Gap.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	100	0	14-Mar-2019	24-Apr-2019

Investment Company Report

BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	24-Apr-2019
ISIN	US0605051046	Agenda	934942360 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Sharon L. Allen	Management	For	For
1b.	Election of Director: Susan S. Bies	Management	For	For
1c.	Election of Director: Jack O. Bovender, Jr.	Management	For	For
1d.	Election of Director: Frank P. Bramble, Sr.	Management	For	For
1e.	Election of Director: Pierre J.P. de Weck	Management	For	For
1f.	Election of Director: Arnold W. Donald	Management	For	For
1g.	Election of Director: Linda P. Hudson	Management	For	For
1h.	Election of Director: Monica C. Lozano	Management	For	For
1i.	Election of Director: Thomas J. May	Management	For	For
1j.	Election of Director: Brian T. Moynihan	Management	For	For
1k.	Election of Director: Lionel L. Nowell III	Management	For	For
1l.	Election of Director: Clayton S. Rose	Management	For	For
1m.	Election of Director: Michael D. White	Management	For	For
1n.	Election of Director: Thomas D. Woods	Management	For	For
1o.	Election of Director: R. David Yost	Management	For	For
1p.	Election of Director: Maria T. Zuber	Management	For	For
2.	Approving Our Executive Compensation (an Advisory, Non-binding "Say on Pay" Resolution)	Management	For	For
3.	Ratifying the Appointment of Our Independent Registered Public Accounting Firm for 2019.	Management	For	For
4.	Amending the Bank of America Corporation Key Employee Equity Plan.	Management	For	For
5.	Report Concerning Gender Pay Equity.	Shareholder	Against	For
6.	Right to Act by Written Consent.	Shareholder	Against	For
7.	Enhance Shareholder Proxy Access.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	3,000	0	14-Mar-2019	25-Apr-2019
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	11,000	0	14-Mar-2019	25-Apr-2019

Investment Company Report

ROYAL BANK OF SCOTLAND GROUP PLC

Security	780097689	Meeting Type	Annual
Ticker Symbol	RBS	Meeting Date	25-Apr-2019
ISIN	US7800976893	Agenda	934962552 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the 2018 Report and Accounts.	Management	For	For
2.	To approve the Annual Report on Remuneration in the Directors' Remuneration Report.	Management	For	For
3.	To declare a final dividend of 3.5 pence per ordinary share.	Management	For	For
4.	To declare a special dividend of 7.5 pence per ordinary share.	Management	For	For
5.	To re-elect Howard Davies as a Director.	Management	For	For
6.	To re-elect Ross McEwan as a Director.	Management	For	For
7.	To elect Katie Murray as a Director.	Management	For	For
8.	To re-elect Frank Dangeard as a Director.	Management	For	For
9.	To re-elect Alison Davis as a Director.	Management	For	For
10.	To elect Patrick Flynn as a Director.	Management	For	For
11.	To re-elect Morten Friis as a Director.	Management	For	For
12.	To re-elect Robert Gillespie as a Director.	Management	For	For
13.	To re-elect Baroness Noakes as a Director.	Management	For	For
14.	To re-elect Mike Rogers as a Director.	Management	For	For
15.	To re-elect Mark Seligman as a Director.	Management	For	For
16.	To re-elect Dr Lena Wilson as a Director.	Management	For	For
17.	To re-appoint Ernst & Young LLP as auditors of the Company.	Management	For	For
18.	To authorise the Group Audit Committee to fix the remuneration of the auditors.	Management	For	For
19.	To renew the directors' authority to allot shares in the Company.	Management	For	For
20.	To renew the directors' authority to allot equity securities on a non pre-emptive basis in connection with an offer or issue of equity securities.	Management	For	For
21.	To renew the directors' authority to allot equity securities on a non pre-emptive basis in connection with the purposes of financing a transaction.	Management	For	For
22.	To renew the directors' authority to allot ordinary shares or grant rights to subscribe for or to convert any security into ordinary shares in relation to Equity Convertible Notes.	Management	For	For

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23.	To renew the directors' authority to allot equity securities on a non pre-emptive basis in connection with Equity Convertible Notes.	Management	For	For
24.	To renew the authority to permit the holding of General Meetings of the Company at 14 clear days' notice.	Management	For	For
25.	To renew the authority in respect of political donations and expenditure by the Company in terms of section 366 of the Companies Act 2006.	Management	For	For
26.	To renew the authority for the Company to purchase its own shares on a recognised investment exchange.	Management	For	For
27.	To renew the authority to make off-market purchases of ordinary shares from HM Treasury.	Management	For	For
28.	To direct the Board to establish a Shareholder Committee.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	50,000	0	29-Mar-2019	18-Apr-2019

Investment Company Report

DNB ASA

Security	R1640U124	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	30-Apr-2019
ISIN	NO0010031479	Agenda	710889152 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	SHARES HELD IN AN OMNIBUS/NOMINEE ACCOUNT NEED TO BE RE-REGISTERED IN THE-BENEFICIAL OWNERS NAME TO BE ALLOWED TO VOTE AT MEETINGS. SHARES WILL BE-TEMPORARILY TRANSFERRED TO A SEPARATE ACCOUNT IN THE BENEFICIAL OWNER'S NAME-ON THE PROXY DEADLINE AND TRANSFERRED BACK TO THE OMNIBUS/NOMINEE ACCOUNT THE-DAY AFTER THE MEETING.	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
1	OPENING OF THE GENERAL MEETING AND SELECTION OF A PERSON TO CHAIR THE MEETING- BY THE CHAIR OF THE BOARD OF DIRECTORS	Non-Voting		
2	APPROVAL OF THE NOTICE OF THE GENERAL MEETING AND THE AGENDA	Management		
3	ELECTION OF A PERSON TO SIGN THE MINUTES OF THE GENERAL MEETING ALONG WITH THE CHAIR	Management		
4	APPROVAL OF THE 2018 ANNUAL ACCOUNTS AND DIRECTORS REPORT, INCLUDING THE DISTRIBUTION OF DIVIDENDS (THE BOARD OF DIRECTORS HAS PROPOSED A DIVIDED OF NOK 8.25 PER SHARE)	Management		
5.A	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: SUGGESTED GUIDELINES (CONSULTATIVE VOTE)	Management		

Investment Company Report

5.B	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: BINDING GUIDELINES (PRESENTED FOR APPROVAL)	Management
6	CORPORATE GOVERNANCE	Management
7	APPROVAL OF THE AUDITORS REMUNERATION	Management
8	REDUCTION IN CAPITAL THROUGH THE CANCELLATION OF OWN SHARES AND THE REDEMPTION OF SHARES BELONGING TO THE NORWEGIAN GOVERNMENT	Management
9	AUTHORISATION TO THE BOARD OF DIRECTORS FOR THE REPURCHASE OF SHARES	Management
10	AMENDMENTS TO DNBS ARTICLES OF ASSOCIATION	Management
11	ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS ACCORDING TO RECOMMENDATION: ELECT OLAUG SVARVA (CHAIR), TORE OLAF RIMMEREID (DEPUTY CHAIR), KARL-CHRISTIAN AGERUP, JAAN IVAR SEMLITSCH, GRO BAKSTAD, CARL A. LOVVIK, VIGDIS MATHISEN, JORUNN LOVAS AND STIAN SAMUELSEN AS DIRECTORS	Management
12	ELECTION OF MEMBERS OF THE ELECTION COMMITTEE ACCORDING TO RECOMMENDATION: ELECT CAMILLA GRIEG (CHAIR), INGEBRET G. HISDAL, JAN TORE FOSUND AND ANDRE STOYLEN AS MEMBER OF NOMINATING COMMITTEE	Management
13	APPROVAL OF REMUNERATION RATES FOR MEMBERS OF THE BOARD OF DIRECTORS AND THE ELECTION COMMITTEE ACCORDING TO RECOMMENDATION	Management
CMMT	08 APR 2019: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF RESOLUTIONS 11 AND 12. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE-DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS.-THANK YOU.	Non-Voting

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	7,500	0		

Investment Company Report

BARCLAYS PLC

Security	06738E204	Meeting Type	Annual
Ticker Symbol	BCS	Meeting Date	02-May-2019
ISIN	US06738E2046	Agenda	934956484 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the reports of the Directors and Auditors and the audited accounts of the Company for the year ended 31 December 2018.	Management	For	For
2.	To approve the Directors' Remuneration Report for the year ended 31 December 2018.	Management	For	For
3.	To appoint Mary Anne Citrino as a Director of the Company.	Management	For	For
4.	To appoint Nigel Higgins as a Director of the Company.	Management	For	For
5.	To reappoint Mike Ashley as a Director of the Company.	Management	Against	Against
6.	To reappoint Tim Breedon as a Director of the Company.	Management	For	For
7.	To reappoint Sir Ian Cheshire as a Director of the Company.	Management	For	For
8.	To reappoint Mary Francis as a Director of the Company.	Management	For	For
9.	To reappoint Crawford Gillies as a Director of the Company.	Management	For	For
10.	To reappoint Matthew Lester as a Director of the Company.	Management	For	For
11.	To reappoint Tushar Morzaria as a Director of the Company.	Management	For	For
12.	To reappoint Diane Schueneman as a Director of the Company.	Management	For	For
13.	To reappoint James Staley as a Director of the Company.	Management	For	For
14.	To reappoint KPMG LLP as Auditors of the Company.	Management	For	For
15.	To authorise the Board Audit Committee to set the remuneration of the Auditors.	Management	For	For
16.	To authorise the Company and its subsidiaries to make political donations and incur political expenditure.	Management	For	For
17.	To authorise the Directors to allot shares and equity securities.	Management	For	For
18.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than 5% of issued share capital.	Management	For	For
19.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than an additional 5% of issued share capital in connection with an acquisition or specified capital investment.	Management	For	For

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20.	To authorise the Directors to allot equity securities in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
21.	To authorise the Directors to allot equity securities for cash other than on a pro rata basis to shareholders in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
22.	To authorise the Company to purchase its own shares.	Management	For	For
23.	To authorise the Directors to call general meetings (other than an AGM) on not less than 14 clear days' notice.	Management	For	For
24.	THAT Mr. Edward Bramson be and is hereby appointed as a director of the Company.	Management	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	9,000	0	27-Mar-2019	29-Apr-2019
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	20,000	0	27-Mar-2019	29-Apr-2019

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THE GOLDMAN SACHS GROUP, INC.

Security	38141G104	Meeting Type	Annual
Ticker Symbol	GS	Meeting Date	02-May-2019
ISIN	US38141G1040	Agenda	934949225 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: M. Michele Burns	Management	For	For
1b.	Election of Director: Drew G. Faust	Management	For	For
1c.	Election of Director: Mark A. Flaherty	Management	For	For
1d.	Election of Director: Ellen J. Kullman	Management	For	For
1e.	Election of Director: Lakshmi N. Mittal	Management	For	For
1f.	Election of Director: Adebayo O. Ogunlesi	Management	For	For
1g.	Election of Director: Peter Oppenheimer	Management	For	For
1h.	Election of Director: David M. Solomon	Management	For	For
1i.	Election of Director: Jan E. Tighe	Management	For	For
1j.	Election of Director: David A. Viniar	Management	For	For
1k.	Election of Director: Mark O. Winkelman	Management	For	For
2.	Advisory Vote to Approve Executive Compensation (Say on Pay)	Management	For	For
3.	Ratification of PricewaterhouseCoopers LLP as our Independent Registered Public Accounting Firm for 2019	Management	For	For
4.	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	1,200	0	27-Mar-2019	03-May-2019

Investment Company Report

STANDARD CHARTERED PLC

Security	G84228157	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	08-May-2019
ISIN	GB0004082847	Agenda	710786736 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE COMPANY'S ANNUAL REPORT AND AUDIT ACCOUNTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2018 TOGETHER WITH THE REPORTS OF THE DIRECTORS AND AUDITORS	Management	For	For
2	TO DECLARE A FINAL DIVIDEND OF USD 0.15 PER ORDINARY SHARE FOR THE YEAR ENDED 31 DECEMBER 2018	Management	For	For
3	TO APPROVE THE ANNUAL REPORT ON REMUNERATION CONTAINED IN THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2018	Management	For	For
4	TO APPROVE THE DIRECTORS' REMUNERATION POLICY CONTAINED IN THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2018	Management	For	For
5	TO ELECT CARLSON TONG, A NON-EXECUTIVE DIRECTOR	Management	For	For
6	TO RE-ELECT DR LOUIS CHEUNG, A NON-EXECUTIVE DIRECTOR	Management	For	For
7	TO RE-ELECT DAVID CONNER, A NON-EXECUTIVE DIRECTOR	Management	For	For
8	TO RE-ELECT DR BYRON GROTE, A NON-EXECUTIVE DIRECTOR	Management	For	For
9	TO RE-ELECT ANDY HALFORD, AN EXECUTIVE DIRECTOR	Management	For	For
10	TO RE-ELECT CHRISTINE HODGSON, A NON-EXECUTIVE DIRECTOR	Management	For	For
11	TO RE-ELECT GAY HUEY EVANS, OBE, A NON-EXECUTIVE DIRECTOR	Management	For	For
12	TO RE-ELECT NAGUIB KHERAJ, A NON-EXECUTIVE DIRECTOR	Management	For	For
13	TO RE-ELECT DR NGOZI OKONJO-IWEALA, A NON-EXECUTIVE DIRECTOR	Management	For	For
14	TO RE-ELECT JOSE VINALS, GROUP CHAIRMAN	Management	For	For
15	TO RE-ELECT JASMINE WHITBREAD, A NON-EXECUTIVE DIRECTOR	Management	For	For
16	TO RE-ELECT BILL WINTERS, AN EXECUTIVE DIRECTOR	Management	For	For

Investment Company Report

17	TO RE-APPOINT KPMG LLP AS AUDITOR OF THE COMPANY FROM THE END OF THE AGM UNTIL THE END OF NEXT YEAR'S AGM	Management	For	For
18	TO AUTHORISE THE AUDIT COMMITTEE, ACTING FOR AND ON BEHALF OF THE BOARD, TO SET THE REMUNERATIONS OF THE AUDITOR	Management	For	For
19	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE	Management	For	For
20	TO AUTHORISE THE BOARD TO ALLOT SHARES	Management	For	For
21	TO EXTEND THE AUTHORITY TO ALLOT SHARES BY SUCH NUMBER OF SHARES REPURCHASED BY THE COMPANY UNDER THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 26	Management	For	For
22	TO AUTHORISE THE BOARD TO ALLOT SHARES AND GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO SHARES IN RELATION TO ANY ISSUE OF EQUITY CONVERTIBLE ADDITIONAL TIER 1 SECURITIES	Management	For	For
23	TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20	Management	For	For
24	IN ADDITION TO RESOLUTION 23, TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20 FOR THE PURPOSES OF ACQUISITIONS AND OTHER CAPITAL INVESTMENTS	Management	For	For
25	IN ADDITION TO RESOLUTIONS 23 AND 24, TO AUTHORISE THE BOARD TO DISAPPLY PREEMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 22	Management	For	For
26	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN ORDINARY SHARES	Management	For	For
27	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN PREFERENCE SHARES	Management	For	For
28	TO ENABLE THE COMPANY TO CALL A GENERAL MEETING OTHER THAN AN AGM ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	34,000	0	27-Mar-2019	01-May-2019

Investment Company Report

STATE STREET CORPORATION

Security	857477103	Meeting Type	Annual
Ticker Symbol	STT	Meeting Date	15-May-2019
ISIN	US8574771031	Agenda	934969277 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: K. Burnes	Management	For	For
1b.	Election of Director: P. de Saint-Aignan	Management	For	For
1c.	Election of Director: L. Dugle	Management	For	For
1d.	Election of Director: A. Fawcett	Management	For	For
1e.	Election of Director: W. Freda	Management	For	For
1f.	Election of Director: J. Hooley	Management	For	For
1g.	Election of Director: S. Mathew	Management	For	For
1h.	Election of Director: W. Meaney	Management	For	For
1i.	Election of Director: R. O'Hanley	Management	For	For
1j.	Election of Director: S. O'Sullivan	Management	For	For
1k.	Election of Director: R. Sergel	Management	For	For
1l.	Election of Director: G. Summe	Management	For	For
2.	To approve an advisory proposal on executive compensation.	Management	For	For
3.	To ratify the selection of Ernst & Young LLP as State Street's independent registered public accounting firm for the year ending December 31, 2019.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,200	0	08-Apr-2019	16-May-2019

Investment Company Report

JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	21-May-2019
ISIN	US46625H1005	Agenda	934979088 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Linda B. Bammann	Management	For	For
1b.	Election of Director: James A. Bell	Management	For	For
1c.	Election of Director: Stephen B. Burke	Management	For	For
1d.	Election of Director: Todd A. Combs	Management	For	For
1e.	Election of Director: James S. Crown	Management	For	For
1f.	Election of Director: James Dimon	Management	For	For
1g.	Election of Director: Timothy P. Flynn	Management	For	For
1h.	Election of Director: Mellody Hobson	Management	For	For
1i.	Election of Director: Laban P. Jackson, Jr.	Management	For	For
1j.	Election of Director: Michael A. Neal	Management	For	For
1k.	Election of Director: Lee R. Raymond	Management	For	For
2.	Advisory resolution to approve executive compensation	Management	For	For
3.	Ratification of independent registered public accounting firm	Management	For	For
4.	Gender pay equity report	Shareholder	Against	For
5.	Enhance shareholder proxy access	Shareholder	Against	For
6.	Cumulative voting	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	700	0	08-Apr-2019	22-May-2019
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	3,300	0	08-Apr-2019	22-May-2019

Investment Company Report

BNP PARIBAS

Security	05565A202	Meeting Type	Annual
Ticker Symbol	BNPQY	Meeting Date	23-May-2019
ISIN	US05565A2024	Agenda	935016762 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
O1	Approval of the parent company financial statements for the 2018 financial year.	Management	For	For
O2	Approval of the consolidated financial statements for the 2018 financial year.	Management	For	For
O3	Appropriation of net income for the 2018 financial year and distribution of dividends.	Management	For	For
O4	Special report of the Statutory Auditors on related party agreements and commitments falling within the scope of articles L.225-38 et seq. of the French Commercial Code.	Management	For	For
O5	Authorization for the Board of Directors to purchase Company shares.	Management	For	For
O6	Re-appointment of a Director (Mr. Jean-Laurent Bonnafé).	Management	For	For
O7	Re-appointment of a Director (Mr. Wouter De Ploey).	Management	For	For
O8	Re-appointment of a Director (Ms. Marion Guillou).	Management	For	For
O9	Re-appointment of a Director (Mr. Michel Tilmant).	Management	For	For
O10	Ratification of the co-option of a Director (Ms. Rajna Gibson- Brandon).	Management	For	For
O11	Vote on the components of the remuneration policy attributable to the Chairman of the Board of Directors.	Management	For	For
O12	Vote on the components of the remuneration policy attributable to the Chief Executive Officer and the Chief Operating Officer.	Management	For	For
O13	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2018 to Jean Lemierre, Chairman of the Board of Directors.	Management	For	For
O14	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2018 to Jean-Laurent Bonnafé, Chief Executive Officer.	Management	For	For
O15	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2018 to Philippe Bordenave, Chief Operating Officer.	Management	For	For
O16	Advisory vote on the overall amount of remuneration of any kind paid during fiscal year 2018 to executives and certain categories of personnel.	Management	For	For
E17	Authorization for the Board of Directors to reduce the share capital by cancelling shares.	Management	For	For
E18	Authority to complete legal formalities.	Management	For	For

Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	4,000	0	02-May-2019	15-May-2019

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BNP PARIBAS SA

Security	F1058Q238	Meeting Type	MIX
Ticker Symbol		Meeting Date	23-May-2019
ISIN	FR0000131104	Agenda	710612513 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR"-AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.	Non-Voting		
CMMT	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A-FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE-GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED-INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD-THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT-YOUR CLIENT REPRESENTATIVE	Non-Voting		
CMMT	IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR-VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE-CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU-WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR-BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU	Non-Voting		
CMMT	05 APR 2019: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS-AVAILABLE BY CLICKING ON THE MATERIAL URL LINK:- https://www.journal-officiel.gouv.fr/publications/balo/pdf/2019/0304/201903041-900392.pdf AND- https://www.journal-officiel.gouv.fr/publications/balo/pdf/2019/0405/201904051-900835.pdf ; PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF URL LINK.-IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		
O.1	APPROVAL OF THE CORPORATE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2018 - APPROVAL OF THE OVERALL AMOUNT OF EXPENSES AND COSTS REFERRED TO IN ARTICLE 39 4 OF THE FRENCH GENERAL TAX CODE	Management	For	For
O.2	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2018	Management	For	For

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O.3	ALLOCATION OF INCOME FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2018 AND DIVIDEND DISTRIBUTION: EUR 3.02 PER SHARE	Management	For	For
O.4	STATUTORY AUDITORS' SPECIAL REPORT ON THE AGREEMENTS AND COMMITMENTS REFERRED TO IN ARTICLES L. 225-38 AND FOLLOWING OF THE FRENCH COMMERCIAL CODE	Management	For	For
O.5	AUTHORIZATION FOR BNP PARIBAS TO BUY BACK ITS OWN SHARES	Management	For	For
O.6	RENEWAL OF THE TERM OF OFFICE OF MR. JEAN-LAURENT BONNAFE AS DIRECTOR	Management	For	For
O.7	RENEWAL OF THE TERM OF OFFICE OF MR. WOUTER DE PLOEY AS DIRECTOR	Management	For	For
O.8	RENEWAL OF THE TERM OF OFFICE OF MRS. MARION GUILLOU AS DIRECTOR	Management	For	For
O.9	RENEWAL OF THE TERM OF OFFICE OF MR. MICHEL TILMANT AS DIRECTOR	Management	For	For
O.10	RATIFICATION OF THE CO-OPTATION OF MRS. RAJNA GIBSON-BRANDON AS DIRECTOR, AS A REPLACEMENT FOR MRS. LAURENCE PARISOT	Management	For	For
O.11	VOTE ON THE COMPENSATION POLICY ELEMENTS ATTRIBUTABLE TO THE CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
O.12	VOTE ON THE COMPENSATION POLICY ELEMENTS ATTRIBUTABLE TO THE CHIEF EXECUTIVE OFFICER AND DEPUTY CHIEF EXECUTIVE OFFICER	Management	For	For
O.13	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED TO MR. JEAN LEMIERRE, CHAIRMAN OF THE BOARD OF DIRECTORS, FOR THE FINANCIAL YEAR 2018	Management	For	For
O.14	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED TO MR. JEAN-LAURENT BONNAFE, CHIEF EXECUTIVE OFFICER, FOR THE FINANCIAL YEAR 2018	Management	For	For
O.15	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED TO MR. PHILIPPE BORDENAVE, DEPUTY CHIEF EXECUTIVE OFFICER, FOR THE FINANCIAL YEAR 2018	Management	For	For
O.16	ADVISORY VOTE ON THE OVERALL REMUNERATION OF ANY KIND PAID DURING THE FINANCIAL YEAR 2018 TO THE EXECUTIVE OFFICERS AND TO CERTAIN CATEGORIES OF EMPLOYEES	Management	For	For
E.17	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE CAPITAL BY CANCELLATION OF SHARES	Management	For	For
E.18	POWERS TO CARRY OUT FORMALITIES	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	600	0	08-Mar-2019	17-May-2019

Investment Company Report

MORGAN STANLEY

Security	617446448	Meeting Type	Annual
Ticker Symbol	MS	Meeting Date	23-May-2019
ISIN	US6174464486	Agenda	934980423 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Elizabeth Corley	Management	For	For
1b.	Election of Director: Alistair Darling	Management	For	For
1c.	Election of Director: Thomas H. Glocer	Management	For	For
1d.	Election of Director: James P. Gorman	Management	For	For
1e.	Election of Director: Robert H. Herz	Management	For	For
1f.	Election of Director: Nobuyuki Hirano	Management	For	For
1g.	Election of Director: Jami Miscik	Management	For	For
1h.	Election of Director: Dennis M. Nally	Management	For	For
1i.	Election of Director: Takeshi Ogasawara	Management	For	For
1j.	Election of Director: Hutham S. Olayan	Management	For	For
1k.	Election of Director: Mary L. Schapiro	Management	For	For
1l.	Election of Director: Perry M. Traquina	Management	For	For
1m.	Election of Director: Rayford Wilkins, Jr.	Management	For	For
2.	To ratify the appointment of Deloitte & Touche LLP as independent auditor	Management	For	For
3.	To approve the compensation of executives as disclosed in the proxy statement (non-binding advisory vote)	Management	For	For
4.	Shareholder proposal regarding an annual report on lobbying expenses	Shareholder	For	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	3,000	0	08-Apr-2019	24-May-2019